

Subt. For, PTO-1449

## INFORMATION DISCLOSURE IN AN APPLICATION

*(Use several sheets if necessary)*

Sheet

1

OF

1

Docket Number  
**112634-120**

Application Number  
09/923,704

Applicant  
**Peter Malcolm**

**Filing Date**

## Group Art Unit

2661 3621

## U.S. Patent Documents

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

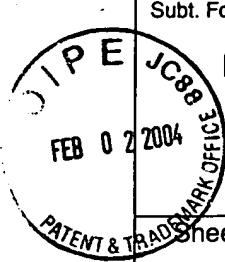
## Foreign Patent Documents

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
P. A.	WO 01/39023	05/31/01	WO				
	WO 01/27813	04/19/01	WO				
	WO 00/68811	11/16/00	WO			RECEIVED	
	EP 0 817 099 A2	07/1998	Europe			MAY 08 2002	
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	GB 2 339 938 A	09/2000	Great Britain				
	GB 2 295 299 A	05/1996	Great Britain				
	GB 2 247 549 A	04/1992	Great Britain				
	GB 2 247 549 A	04/1992	Great Britain				

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

EXAMINER 	DATE CONSIDERED 9/33/2024
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**EXAMINER:** Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.



Subt. For, PTO-1449 <b>INFORMATION DISCLOSURE IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>				Docket Number <b>112634.120US1</b>	Application Number <b>09/923,704</b>
				Applicant <b>Malcolm</b>	
				Filing Date <b>August 7, 2001</b>	Group Art Unit <b>2661</b> <i>321</i>
Sheet	1	OF	1		

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
P.G.	5,701,484	Dec. 23, 1997	Artsy	395	683	
	5,835,726	Nov. 10, 1998	Shwed et al.	395	200.59	
	5,964,839	Oct. 12, 1999	Johnson et al.	709	224	
	5,974,149	Oct. 26, 1999	Leppek	380	25	
	6,009,526	Dec. 28, 1999	Choi	713	200	
↓	6,073,142	Jun. 6, 2000	Geiger et al.	707	500	RECEIVED FFB 05 2004

Technology Center 2600

Foreign Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
P.G.	EP 0736827 A2	Oct. 9, 1996	EP			
P.G.	WO 99/67931	Dec 29, 1999	PCT			

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)						
P.G.	A1	Abrams, M. et al. "A Higher Level of Computer Security through Active Policies," Computers & Security, 14 (1995) pp. 147-157				
	A2	Hurwitz Group, "Managing Exchange 2000 Migration with Bindview," www.Hurwitz.com, October 2000, pp. 1-15				
	A3	Schlosser, M., "IBM Application Framework for E-business: Security," www-4.ibm.com, November 1999, pp. 1-8				
	A4	Satchell, S., "Keeping E-mail Secure," www-106.ibm, October 2000, pp. 1-4				
	A5	Boone, G., "Concept Features in Re: Agent, and Intelligent Email Agent," Proceedings of the 2 <sup>nd</sup> International Conference of the 2 <sup>nd</sup> International Conference on Autonomous Agents/St. Paul, MN, May 1998, pp. 141-148				
↓	A6	Rothman, M., "Email Security: the PKI Way," www.comnews.com, October 2000, p. 1				

EXAMINER <i>Malcolm</i>	DATE CONSIDERED <i>9/2/04</i>
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